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- (d) Each person issued a document described in §12.02–5, shall report to an Officer in Charge, Marine Inspection, its loss.
- (e) If a seaman's document or service record is missing, he may obtain a duplicate by following the procedures in paragraph (c) of this section and by:
- (1) Signing an affidavit before the Officer in Charge, Marine Inspection, or his designated representative, that explains the loss of his document or service record; and
- (2) Submitting at least two photographs for each duplicate document.
- (f) No application from an alien for a duplicate merchant mariner's document shall be accepted unless the alien complies with the requirements of §12.02-10.

[CGFR 65-50, 30 FR 16640, Dec. 30, 1965, as amended by CGFR 71-168, 36 FR 23297, Dec. 8, 1971; CGD 72-81R, 37 FR 10834, May 31, 1972; CGD 91-002, 58 FR 15239, Mar. 19, 1993; USCG-1997-2799, 64 FR 42816, Aug. 5, 1999]

# §12.02-24 Reporting loss or recovery of continuous discharge book, merchant mariners document, or certificate of discharge.

Whenever a continuous discharge book, merchant mariners document, or certificate of discharge is reported to an Officer in Charge, Marine Inspection (OCMI), as having been stolen, lost, or destroyed, the OCMI shall immediately report the fact by letter to the Commanding Officer, National Maritime Center giving all the facts incident to its loss or destruction. By the same procedure the OCMI shall report the recovery of a continuous discharge book, merchant mariners document or certificate of discharge with all the facts incident to its recovery, and shall forward the recovered book, document, or discharge to the Commanding Officer, National Maritime Center.

[CGD 79-161, 44 FR 70155, Dec. 6, 1979, as amended by CGD 95-072, 60 FR 50460, Sept. 29, 1995; USCG-1998-4442, 63 FR 52189, Sept. 30, 1998]

#### $\S 12.02-25$ Right of appeal.

Any person directly affected by a decision or action taken under this part, by or on behalf of the Coast Guard,

may appeal therefrom in accordance with subpart 1.03 of this chapter.

[CGD 88-033, 54 FR 50379, Dec. 6, 1989]

### § 12.02-27 Requirements for renewal of a merchant mariner's document.

- (a) General. Except as provided in paragraph (g) of this section, an applicant for renewal of a merchant mariner's document shall establish possession of all of the necessary qualifications before a merchant mariner's document is issued.
- (1) Each application must be on a Coast Guard furnished form and accompanied by the evaluation fee established in table 12.02–18 in §12.02–18. An approved application is valid for 12 months.
- (2) The applicant may apply in person at any Regional Examination Center listed in §12.01-7 or may renew the merchant mariner's document by mail under paragraph (e)(3) of this section.
- (3) The applicant shall submit the original or a photocopy of the merchant mariner's document to be renewed. A photocopy must include the front and back of the merchant mariner's document. If requested, the old document will be returned to the applicant.
- (4) The expiration date of a merchant mariner's document that was issued without an expiration date is determined in accordance with §12.02–29.
- (5) Each applicant shall comply with §12.02-09(b)(1) of this part.
- (b) *Fitness.* No merchant mariner's document will be renewed if it has been suspended without probation or revoked as a result of action under part 5 of this chapter, or facts which would render a renewal improper have come to the attention of the Coast Guard.
- (c) *Professional requirements.* (1) In order to renew a merchant mariner's document endorsed with a qualified rating, the applicant shall comply with one of the following:
- (i) Present evidence of at least 1 year of sea service during the past 5 years;
- (ii) Pass a comprehensive, open-book exercise covering the general subject matter required by this part for the applicable endorsement or pass an open-book license exercise that covers the same subject matter required for the MMD endorsement;

(iii) Complete an approved refresher training course; or,

(iv) Present evidence of employment in a position closely related to the operation, construction, or repair of vessels (either deck or engineer as appropriate for the endorsement) for at least 3 years during the past 5 years.

(2) There are no professional requirements for renewal of a merchant mariner's document that is not endorsed

with any qualified ratings.

- (d) Physical requirements. (1) An applicant for renewal of a merchant mariner's document endorsed with a qualified rating other than lifeboatman, shall submit a certification by a licensed physician or physician assistant that he or she is in good health and has no physical impairment or medical condition which would render him or her incompetent to perform the ordinary duties of that qualified rating(s). This certification must address visual acuity and hearing in addition to general physical condition, and must have been completed within the previous 12 months.
- (2) If the Officer in Charge, Marine Inspection has reason to believe that an applicant suffers from some physical impairment or medical condition which would render the applicant incompetent to perform the duties of the qualified rating(s) (other than lifeboatman), the applicant may be required to submit the results of an examination by a licensed physician or physician assistant that meets the requirements for originally obtaining the rating(s).
- (3) An applicant who has lost sight in one eye may renew a merchant mariner's document with qualified ratings, provided the applicant is qualified in all other respects and that the visual acuity in the remaining eye passes the test required in §10.205(d) of this chapter.
- (e) Special circumstances—(1) Reissuance after expiration, Period of grace. Except as provided in this paragraph, a merchant mariner's document may not be renewed more than 12 months after it has expired. To obtain a reissuance of a merchant mariner's document expired more than 12 months, an applicant shall comply with the requirements of paragraph (f)

- of this section. When an applicant's merchant mariner's document expires during a time of service with the Armed Forces and there is no reasonable opportunity for renewal, including by mail, this 12-month period of grace may be extended. The period of military service following the date of merchant mariner's document expiration which precluded renewal may be added to the 12 month period of grace. The 12 month period of grace, and any extension, do not affect the expiration date of the document. A merchant mariner's document, and any endorsements that it contains, are not valid for use after the expiration date.
- (2) Renewal in advance. A merchant mariner's document may not be renewed more that 12 months before expiration unless it is being renewed in conjunction with a license or certificate of registry which is either due for renewal or being upgraded, or unless the Officer in Charge, Marine Inspection is satisfied that special circumstances exist to justify renewal.
- (3) Renewal by mail. (i) Applications for renewal of merchant mariner's documents by mail may be sent to any Coast Guard Regional Examination Center. The following documents must be submitted:
- (A) A properly completed application on a Coast Guard furnished form, and the evaluation fee required by table 12.02-18 in  $\S12.02-18$ .
- (B) The document to be renewed, or, if it has not expired, a photocopy of the document, including the back.
- (C) A certification from a licensed physician or physician assistant in accordance with paragraph (d) of this section.
- (D) Evidence of, or acceptable substitute for, sea service in accordance with paragraph (c) of this section.
- (ii) The open-book exercise, if required, may be administered through the mail.
- (4) Concurrent renewal of merchant mariner's documents, and licenses, or certificates of registry. An applicant for concurrent renewal of more than one merchant mariner credential shall satisfy the individual renewal requirements and pay the applicable fees required by tables in §§ 12.02–18 and 10.109

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of this chapter for each merchant mariner's document, license, or certificate of registry being renewed.

- (f) Reissuance of expired merchant mariner's documents. (1) Whenever an applicant applies for reissuance of a merchant mariner's document endorsed with qualified rating(s) more than 12 months after expiration, in lieu of the requirements of paragraph (c) of this section the applicant shall demonstrate continued professional knowledge for each qualified rating for which reissuance is sought by completing a course approved for this purpose or, by passing the complete examination for each rating, or by passing the examination for a related license required by §10.209(f)(1) of this chapter. The fees listed in tables in §§ 12.02-18 and 10.109 apply to these examinations.
- (2) A merchant mariner's document without any qualified rating endorsements that has been expired more than 12 months shall be reissued in the same manner as a current merchant mariner's document. There are no additional requirements for reissuing merchant mariner's documents without qualified ratings that have been expired more than 12 months.
- (g) Inactive document renewal. (1) Applicants for renewal of merchant mariner's documents that are endorsed with qualified ratings, who are unwilling or otherwise unable to meet the requirements of paragraphs (c) or (d) of this section may renew the merchant mariner's document, with the following restrictive endorsement placed on the document: "Continuity only; service under document prohibited." Holders of merchant mariner's documents with this continuity endorsement may have the prohibition rescinded at any time by satisfying the renewal requirements in paragraphs (c) and (d) of this section and  $\S12.02-9(f)$  of this part.
- (2) Applications for renewal of a document with the continuity endorsement must include:
- (i) The document to be renewed, or, if it is unexpired, a photocopy of the document including the back and,
- (ii) A signed statement from the applicant attesting to an awareness of the restriction to be placed on the renewed document and of the require-

ments for rescinding the continuity endorsement.

[CGD 91-211, 59 FR 49301, Sept. 27, 1994, as amended by CGD 91-223, 60 FR 4525, Jan. 23, 1995; USCG-1997-2799, 64 FR 42816, Aug. 5, 1999]

### §12.02-29 Expiration of existing merchant mariner's documents.

The expiration year of a merchant mariner's document issued without an expiration date is calculated by adding 5-year increments to the issuance date of the document, up to the first applicable year falling between 1995 and 1999, inclusive. The day and month of expiration are the same as that of issuance. Table 12.02-29 is provided as an aid for calculating the expiration date of a document issued without an expiration date. A merchant mariner's document is not valid for use after the expiration date calculated under this section, but may be renewed in accordance with the requirements of §12.02-

TABLE 12.02–29—EXPIRATION OF MERCHANT MARINER'S DOCUMENTS ISSUED WITH NO EXPIRATION DATE 1

Expiration year				
1999	1998	1997	1996	1995
Issue Year				
1994	1993	1992	1991	1990
1989	1988	1987	1986	1985
1984	1983	1982	1981	1980
1979	1978	1977	1976	1975
1974	1973	1972	1971	1970
1969	1968	1967	1966	1965
1964	1963	1962	1961	1960
1959	1958	1957	1956	1955
1954	1953	1952	1951	1950
1949	1948	1947	1946	1945
1944	1943	1942	1941	1940
1939	1938	1937		

<sup>1</sup>Find the year in which the merchant mariner's document was issued (Issue Year), then move up the column to find the Expiration Year. Month and day of expiration correspond to the month and day of issue.

[CGD 91-211, 59 FR 49302, Sept. 27, 1994]

## Subpart 12.03—Approved and Accepted Training

### § 12.03-1 Coast Guard-accepted training other than approved courses.

(a) When the training and assessment of competence required by part 10 of this chapter or by this part 12 are not subject to approval under §10.302 of